



## VACANCY

The Securities and Exchange Commission of Sri Lanka (SEC) is responsible for the regulation of a fair, orderly, efficient, and transparent Capital Market and ensuring professional and good governance standards in the industry.

The SEC is looking for suitably qualified, self-motivated team players with high integrity, self-discipline, ethical conduct, ability to maintain confidentiality and strong interpersonal skills to fill the following position at the Commission.

### Director - Investigations (Designate)

#### The Role:

The Director - Investigations is responsible for leading the investigations function related to breaches of the SEC Act, rules and regulations, and other relevant laws. This role involves thorough monitoring of investigations and providing direction to the department staff, gathering and analyzing evidence, designing and implementing case-specific investigation strategies and time-bound action plans, liaising with law enforcement agencies and other regulatory agencies and any other agents of the State where necessary and collaborating with legal teams to take enforcement actions.

#### Skills and Competencies:

- Be articulate, analytical, and decisive with excellent people's skills.
- Sound interrogation skills.
- High integrity and ability to handle sensitive information discreetly.
- Extremely high on initiative, proactive and highly self-motivated and ability to work under pressure.
- Excellent interpersonal skills with good verbal and written communication skills coupled with proficient drafting abilities.
- Digitally literate.
- Strong negotiation and presentation skills.
- Strong collaboration skills to work effectively with cross-functional teams.

#### Qualifications:

- Bachelor's degree either in Law or Finance or Management or Accountancy or Economics from a recognized University or be an Attorney-at-Law or be a member of a recognized professional accounting body (CA, CIMA, ACCA, CFA).
- A Class and Master or Postgraduate qualification and experience in investigations on financial crimes will be an added advantage.
- Possess a proven track record of at least 10 years of experience at senior managerial/ managerial level in legal or regulatory investigation functions.
- Knowledge in capital market operations will be an added advantage.

Candidates should be aged between 35 and 55. The upper age limit will not be applicable to internal applicants or candidates from government sector organizations.

Please apply with names and contact details of two non-related referees indicating the position on the top left-hand corner of the envelop to reach the following address by registered post or email your curriculum vitae to **recruitments@sec.gov.lk** on or before **24<sup>th</sup> July 2024**.

**The Director - HR and Organization Transformation  
Securities and Exchange Commission of Sri Lanka  
Level 29, East Tower, World Trade Center  
Echelon Square, Colombo 1  
Website: [www.sec.gov.lk](http://www.sec.gov.lk)**

*The selection will be by way of an interview and only the shortlisted candidates would be contacted.  
Canvassing in any form will be a disqualification.*