

BUILD YOUR CAREER WITH CARGILLS BANK

Assistant Manager - Regulatory Compliance (RC)

KEY RESPONSIBILITIES

- ✓ Lead the Regulatory Compliance function of the Compliance Department, reporting directly to the AGM -Compliance
- ✓ Ensure awareness of all applicable Laws, rules and regulations issued by the CBSL and other regulatory authorities and effective communication of all new regulatory requirements to business and operations units
- ✓ Provide regulatory consultation/advisory to Business/Operations units as and when needed
- ✓ Develop/Review Regulatory Compliance policies and procedures to ensure they comply with statutory and regulatory requirements and controls are up-to-date and effective
- ✓ Regularly monitor Bank's Compliance levels through Compliance Reviews
- ✓ Act as the liaising officer with CBSL which includes obtaining clarifications on behalf of the business/support units when required
- ✓ Responsible for providing training to employees on Regulatory Compliance matters to promote and enhance a strong bank-wide compliance culture
- ✓ Preparation of compliance reports/papers on regulatory compliance for the EIRMC/BIRMC & BOD

EXPERIENCE & QUALIFICATIONS

- ✓ A Degree in Management/Finance/Law or full qualification in Banking or Finance/CIMA/ACCA/CA or equivalent Professional qualification
- ✓ Minimum 8 years of Banking experience out of which 4-6 years in a Compliance related role
- ✓ Knowledge in Foreign Exchange Regulations is mandatory
- ✓ A detail-oriented individual with excellent computer skills in MS Office
- ✓ Good interpersonal skills, training skills, and leadership skills
- ✓ Very good written and verbal communication skills in English including report writing
- ✓ Sound knowledge on Regulatory Compliance, Anti Money Laundering and Corporate Governance

Interested candidates are invited to forward their CVs to career@cargillsbank.com mentioning the post applied for, in the subject line of the email on or before **10th June 2024**.

Head of Human Resources
Cargills Bank PLC
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